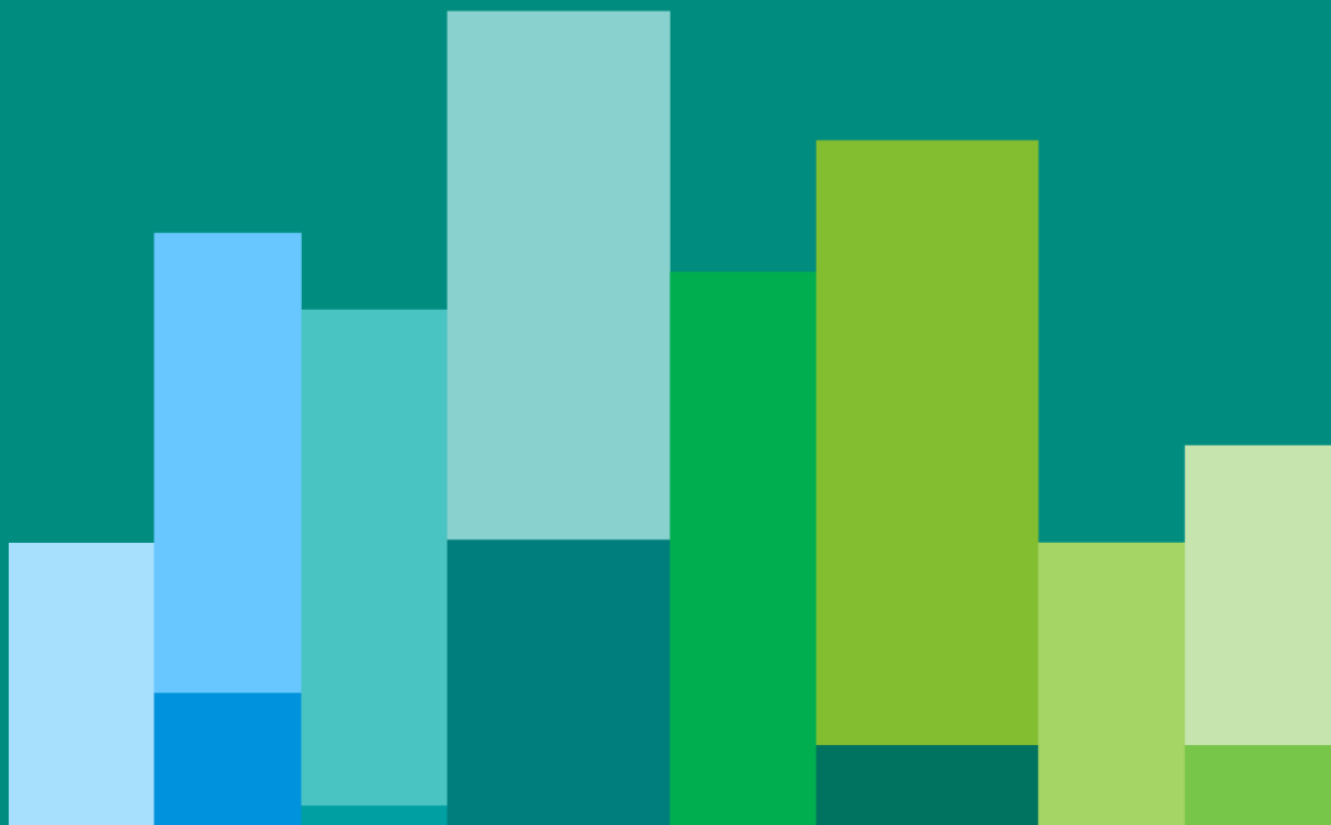


U.S. ETP MONTHLY FLASH

October 2013



Source: NYSE Arca ETP Database and ArcaVision. Statistics include only NYSE Arca listed ETPs which are derivatively priced securities that can trade intraday on a securities exchange. ETPs are typically benchmarked to indices or static baskets of stocks, futures, swap and commodities or may be actively managed. Products that fall under the ETP umbrella include Exchange Traded Funds (ETFs), Exchange Traded Vehicles (ETVs), Exchange Traded Notes (ETNs) and Certificates. ©2013 NYSE Euronext. All rights reserved. No part of this material may be copied, photocopied or duplicated in any form by any means or redistributed without the prior written consent of NYSE Euronext. NYSE Euronext and its affiliates do not recommend or make any representation as to possible benefits from any securities or investments, or third-party products or services. Investors should undertake their own due diligence regarding securities and investment practices. This publication is intended solely for informational purposes, and, while believed correct, no warranty is made of the accuracy of the data herein.

NYSE ARCA US EXCHANGE TRADED PRODUCTS (ETP)

Highlights from October 2013

- In October, 26 new ETPs listed on NYSE Arca
- At the end of October, 1,416 ETPs were listed on NYSE Arca
- US ETP notional volume represented 27.19% of all CTA issues in October 2013. This is up from 25.97% from October 2012
- US ETP daily average turnover was approximately \$64.6 billion in October 2013, up 30.34% from \$48.30 billion in October 2012
- NYSE Arca was at the NBBO 90.41% of the time, leading all US exchanges
- NYSE Arca had 21.3% of all US ETP trading volume, leading all US exchanges



NYSE ARCA US EXCHANGE TRADED PRODUCTS (ETP)

Top 10 Most Actively Traded Securities in the U.S. (by Turnover Volume) October

Symbol	Name	Product Type	Consolidated Trading Volume	Consolidated Turnover Volume (\$)	Average Daily Trading Volume	Average Daily Turnover Volume (\$)
SPY	SPDR S&P 500 ETF Trust	ETF	2,897,123,870	\$496,242,231,394	125,961,907	\$21,575,749,191
AAPL	Apple Inc.	Stock	279,919,104	\$142,054,715,425	12,170,396	\$6,176,291,975
FB	Facebook, Inc. Class A	Stock	2,037,990,252	\$102,369,533,390	88,608,272	\$4,450,849,278
IWM	iShares Russell 2000 ETF	ETF	881,460,284	\$95,215,448,121	38,324,360	\$4,139,802,092
QQQ	PowerShares QQQ Trust, Series 1	ETF	818,970,893	\$65,838,820,069	35,607,430	\$2,862,557,394
EEM	iShares MSCI Emerging Markets ETF	ETF	1,292,006,237	\$54,967,482,532	56,174,184	\$2,389,890,545
GOOG	Google, Inc.	Stock	55,288,874	\$52,521,555,461	2,403,864	\$2,283,545,890
TSLA	Tesla Motors, Inc.	Stock	258,483,443	\$45,069,007,745	11,238,411	\$1,959,522,076
NFLX	NetFlix Inc	Stock	120,143,086	\$39,411,769,114	5,223,612	\$1,713,555,179
MSFT	Microsoft Corp	Stock	1,019,881,050	\$35,186,572,322	44,342,654	\$1,529,850,971

Top 10 Most Actively Traded ETPs in the U.S. (by Turnover Volume) in October

SPY	SPDR S&P 500 ETF Trust	ETF	2,897,123,870	\$496,242,231,394	125,961,907	\$21,575,749,191
IWM	iShares Russell 2000 ETF	ETF	881,460,284	\$95,215,448,121	38,324,360	\$4,139,802,092
QQQ	PowerShares QQQ Trust, Series 1	ETF	818,970,893	\$65,838,820,069	35,607,430	\$2,862,557,394
EEM	iShares MSCI Emerging Markets ETF	ETF	1,292,006,237	\$54,967,482,532	56,174,184	\$2,389,890,545
DIA	SPDR Dow Jones Industrial Average ETF Trust	ETF	175,634,218	\$26,701,506,811	7,636,270	\$1,160,935,079
GLD	SPDR Gold Trust, SPDR Gold Shares	ETV	203,498,561	\$25,784,042,063	8,847,764	\$1,121,045,307
EFA	iShares MSCI EAFE ETF	ETF	349,365,863	\$22,688,669,382	15,189,820	\$986,463,886
GDX	Market Vectors Gold Miners ETF	ETF	903,382,001	\$22,329,982,107	39,277,478	\$970,868,787
XLE	ENERGY SEL SECT SPDR FD	ETF	241,878,013	\$20,539,478,185	10,516,435	\$893,020,791
XLF	FINANCIAL SEL SECT SPDR FD	ETF	984,590,849	\$20,016,540,568	42,808,298	\$870,284,373



NYSE ARCA US EXCHANGE TRADED PRODUCTS (ETP)

New ETPs Launched on NYSE Arca in October

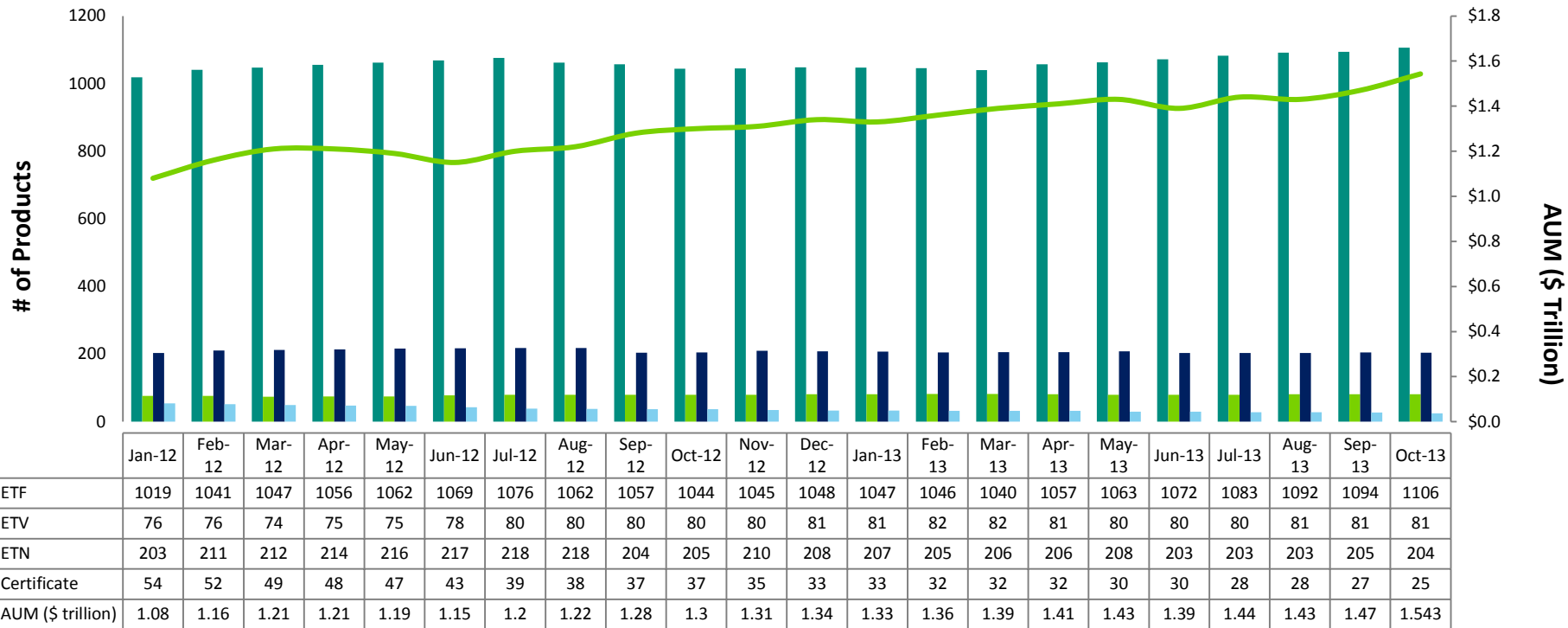
Symbol	Listing Date	Name	Product Type	Consolidated Trading Volume	Consolidated Turnover Volume (\$)	Average Daily Trading Volume	Average Daily Turnover Volume (\$)
FDIS	10/24/2013	Fidelity MSCI Consumer Discretionary Index ETF	ETF	146,733	\$3,748,562	24,456	\$624,760
FSTA	10/24/2013	Fidelity MSCI Consumer Staples Index ETF	ETF	329,646	\$8,468,315	54,941	\$1,411,386
FENY	10/24/2013	Fidelity MSCI Energy Index ETF	ETF	211,298	\$5,294,413	35,216	\$882,402
FNCL	10/24/2013	Fidelity MSCI Financials Index ETF	ETF	223,119	\$5,544,837	37,187	\$924,140
FHLC	10/24/2013	Fidelity MSCI Health Care Index ETF	ETF	490,620	\$12,395,044	81,770	\$2,065,841
FIDU	10/24/2013	Fidelity MSCI Industrials Index ETF	ETF	153,122	\$3,913,200	25,520	\$652,200
FTEC	10/24/2013	Fidelity MSCI Information Technology Index ETF	ETF	355,449	\$8,948,816	59,242	\$1,491,469
FMAT	10/24/2013	Fidelity MSCI Materials Index ETF	ETF	100,186	\$2,524,838	16,698	\$420,806
FCOM	10/24/2013	Fidelity MSCI Telecommunication Services Index ETF	ETF	180,227	\$4,599,258	30,038	\$766,543
FUTY	10/24/2013	Fidelity MSCI Utilities Index ETF	ETF	261,103	\$6,643,586	43,517	\$1,107,264
FIBG	10/18/2013	CS FI Enhanced Big Cap Growth ETN	ETN	113,036	\$4,722,179	11,304	\$472,218
SLQD	10/17/2013	iShares 0-5 Year Investment Grade Corporate Bond ETF	ETF	21,940	\$1,098,985	1,995	\$99,908
SHYG	10/17/2013	iShares 0-5 Year High Yield Corporate Bond ETF	ETF	472,948	\$23,730,544	42,995	\$2,157,322

NYSE ARCA US EXCHANGE TRADED PRODUCTS (ETP)

New ETPs Launched on NYSE Arca in October

Symbol	Listing Date	Name	Product Type	Consolidated Trading Volume	Consolidated Turnover Volume (\$)	Average Daily Trading Volume	Average Daily Turnover Volume (\$)
IPO	10/16/2013	Renaissance IPO ETF	ETF	2,218,716	\$45,376,371	184,893	\$3,781,364
ULST	10/10/2013	SPDR SSGA Ultra Short Term Bond ETF	ETF	110,479	\$4,425,365	6,905	\$276,585
NOBL	10/10/2013	ProShares S&P 500 Aristocrats ETF	ETF	854,244	\$35,786,359	53,390	\$2,236,647
CHNA	10/10/2013	PowerShares China A-Share Portfolio	ETF	31,481	\$791,807	1,968	\$49,488
NFRA	10/9/2013	FlexShares STOXX Global Broad Infrastructure Index Fund	ETF	104,227	\$4,330,988	6,131	\$254,764
RIGS	10/9/2013	RiverFront Strategic Income Fund	ETF	1,981,004	\$49,063,180	116,530	\$2,886,069
FIEG	10/8/2013	FI Enhanced Global High Yield Exchange Traded Notes	ETN	132,635	\$14,262,952	7,369	\$792,386
JNUG	10/3/2013	Direxion Daily Junior Gold Miners Index Bull 3X Shares	ETF	656,034	\$23,020,921	31,240	\$1,096,234
JDST	10/3/2013	Direxion Daily Junior Gold Miners Index Bear 3X Shares	ETF	398,482	\$16,981,985	18,975	\$808,666
RDIV	10/1/2013	RevenueShares Ultra Dividend Fund	ETF	253,076	\$6,469,073	11,003	\$281,264
DBUK	10/1/2013	db X-Trackers MSCI United Kingdom Hedged Equity Fund	ETF	22900	\$604,479	996	\$26,282
DBEU	10/1/2013	db X-Trackers MSCI Europe Hedged Equity Fund	ETF	80,060	\$2,090,208	3,481	\$90,879
DBAP	10/1/2013	db X-Trackers MSCI AC Asia Pacific ex Japan Hedged Equity Fund	ETF	128,313	\$3,320,149	5,579	\$144,354

NYSE ARCA US EXCHANGE TRADED PRODUCTS (ETP)



- YTD October 2013, 136 ETPs have listed in the US, 110 of which were listed on NYSE Arca
- In October, 26 ETPs listed on Arca
- NYSE Arca ETPs have a total AUM of approx \$1.54 trillion

NYSE ARCA ETPS BY ISSUER

Issuer	# of ETPs	New in October	New YTD	Turnover
AdvisorShares	18		2	\$329,787,632
ALPS	12	1(RIGS)	4	\$1,514,642,742
ArrowShares	1			\$30,417,798
Bank of America	11			\$9,931,213
Barclays Bank PLC	80		4	\$21,361,161,197
Cambria	1		1	\$42,232,959
Charles Schwab	21		6	\$2,799,799,848
Citigroup	16		1	\$14,500,130
Columbia Management	5			\$23,530,937
Credit Suisse	9	1(FIBG)	4	\$150,283,738
Deutsche Bank	45	1(FIEG)	1	\$2,916,443,147
db X-trackers	16	3(DBUK,DBEU,DBAP)	5	\$135,945,989
Direxion Shares	52	2(JNUG,JDST)	8	\$54,479,187,453
Emerging Global	12		2	\$210,540,840
ETF Securities	7			\$420,194,724
Exchange Traded Concepts	5		2	\$67,560,249
FactorShares	8			\$3,802,922
Fidelity	10	10(FDIS,FSTA,FENY,FNCL,FHLC,FIDU,FTEC,FMAT,FCOM,FUTY)	10	\$127,980,717
FirstTrust	60		2	\$4,974,378,983

NYSE ARCA ETPS BY ISSUER

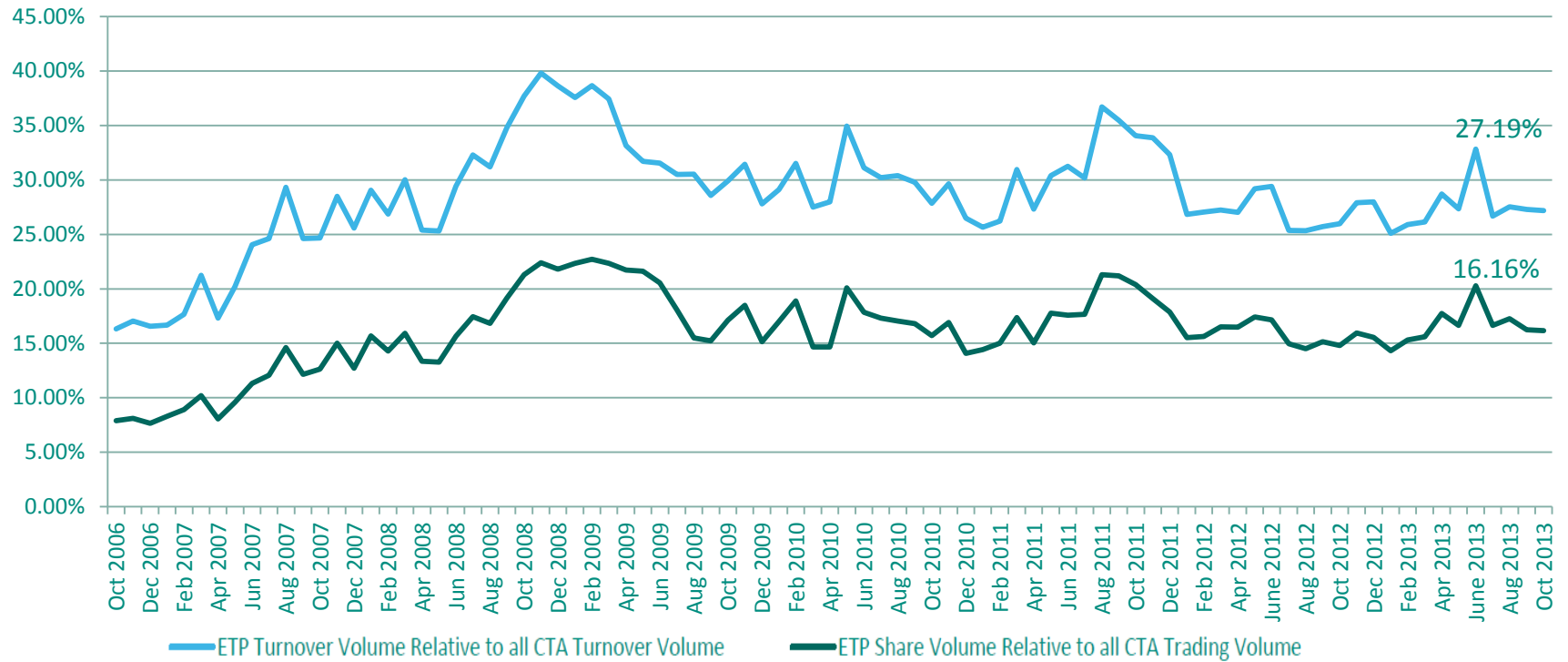
Issuer	# of ETPs	New in October	New YTD	Turnover
FlexShares	14	1(NFRA)	4	\$567,314,829
Global X	34		5	\$758,978,668
Goldman Sachs	2			\$19,645,353
GreenHaven	1			\$30,330,007
Guggenheim	71		5	\$7,111,348,466
Horizons ETFs	1		1	\$6,947,806
HSBC	1			\$837,798
Huntington	2			\$11,497,990
Index IQ	11			\$126,345,863
Invesco PowerShares	101	1(CHNA)	5	\$74,346,530,334
iShares	248	2(SLQD,SHYG)	19	\$395,469,656,172
Jefferies	1			\$2,866,188
JPMorgan Chase & Co.	1			\$509,198,483
Krane Shares	1		1	\$5,383,203
LocalShares	1		1	\$532,371
Market Vectors	53		3	\$33,681,053,602
Morgan Stanley	6			\$16,118,739
Pax ETFs	1			\$5,840,954



NYSE ARCA ETFS BY ISSUER

Issuer	# of ETFS	New in October	New YTD	Turnover
PIMCO	20		1	\$3,083,157,973
Precidian Funds	1			\$208,118,601
ProShares	135	1(NOBL)	1	\$76,352,169,650
Pyxis Funds	1			\$14,977,758
QuantShares	4			\$26,844,658
Renaissance Capital	1	1(IPO)	1	\$45,376,371
RevenueShares	7	1(RDIV)	1	\$98,838,180
Royal Bank of Scotland	13			\$101,786,247
Russell ETFS	1			\$1,333,978
SPDR ETFS	124	1(ULST)	7	\$707,666,486,315
Sprott Asset Management	3			\$317,081,559
Swedish Export Credit (SEK)	7			212970207
Teucrium	7			\$42,542,475
UBS	30		1	\$542,958,825
United States Commodity Funds LLC	12			\$6,941,653,440
Vanguard	48			\$61,284,073,187
VelocityShares	20		2	\$14,299,376,622
WisdomTree	43			\$10,186,044,659

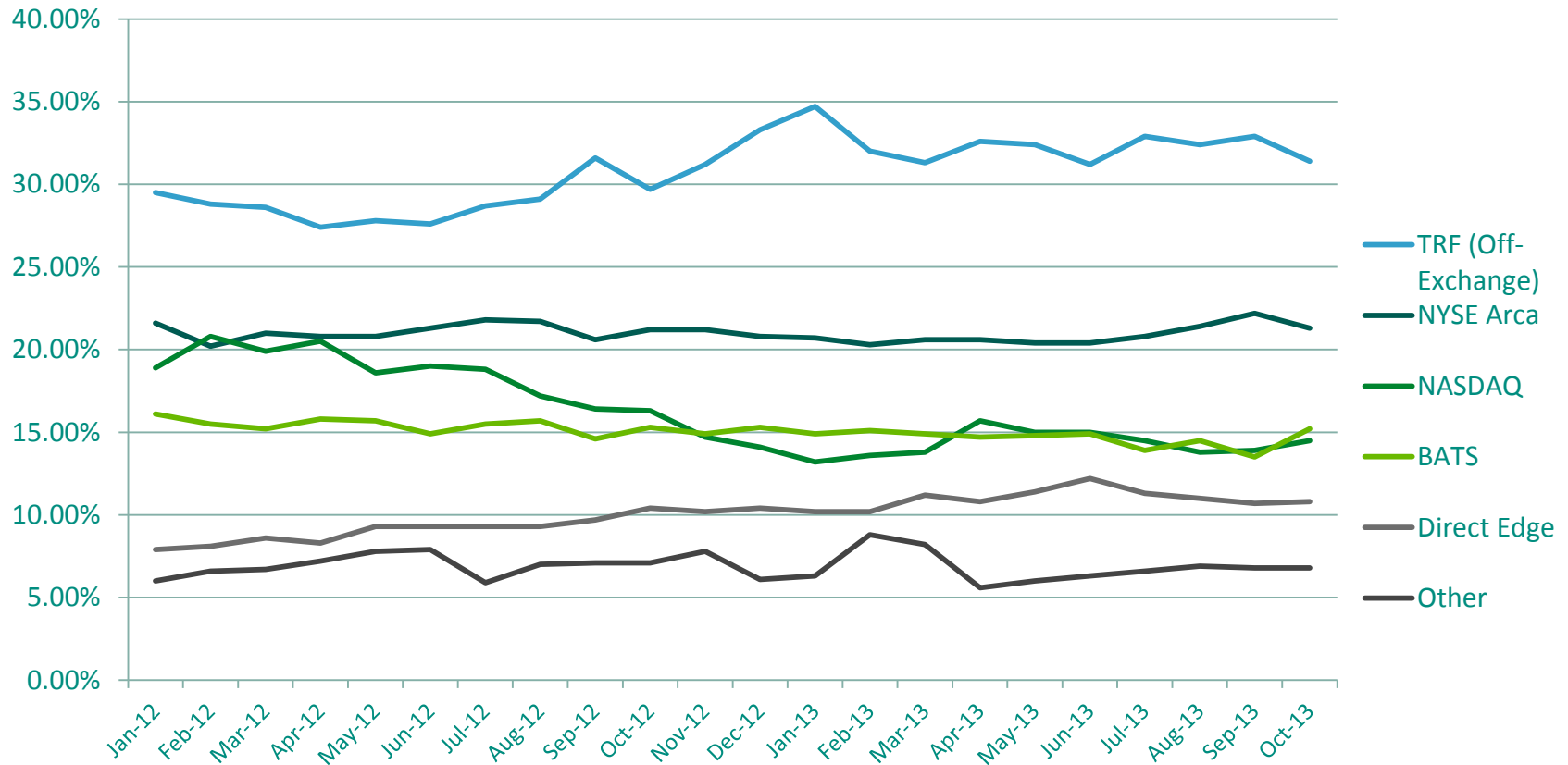
U.S. ETP CONSOLIDATED VOLUMES



- During the month of October, US ETP CADV was 1.02 billion and daily notional value was \$64.6 billion
- By the end of October, US ETP share and notional volume represented 16.16% and 27.19%, respectively of all CTA issues



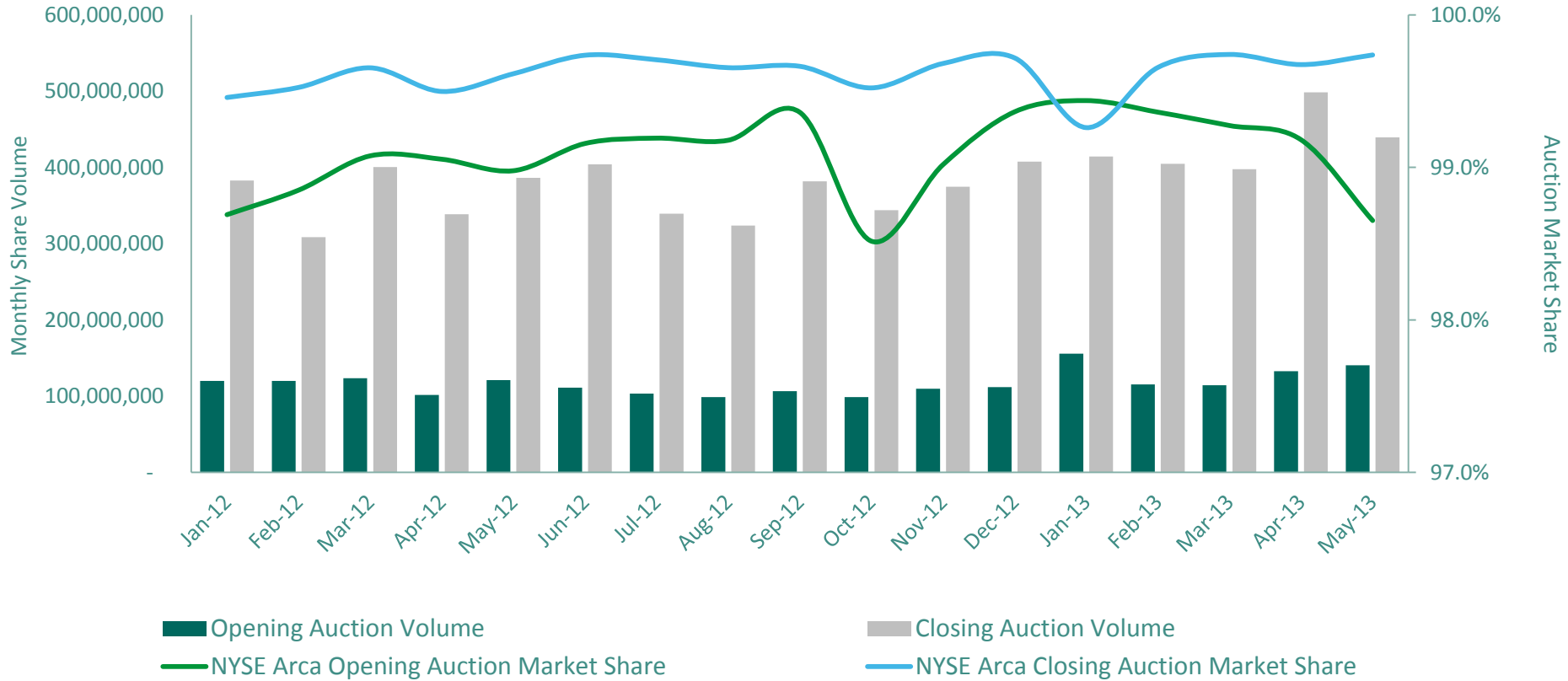
U.S. ETP MARKET SHARE BY TRADING VENUE



- In October 2013, NYSE Arca led all exchanges with an ETP trading market share of 21.30%
- ETP trades occurring off-exchange in October 2013 represented 31.40%



NYSE ARCA ETP OPENING & CLOSING AUCTION



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