CHECKLIST FOR ORIGINAL LISTING APPLICATION

Company Name: \_\_\_\_\_\_\_\_\_

GENERAL

\_\_\_\_ Listing Application

\_\_\_\_ Listing Agreement

\_\_\_\_ Officers and Directors Worksheet

\_\_\_\_ Corporate Governance Certification Form

\_\_\_\_ Underwriter’s Letter (for Initial Public Offerings)

\_\_\_\_ SEC Form \_\_\_ (8-A, 10, 40-F, 20-F)

\_\_\_\_ Other: \_\_\_\_\_\_\_