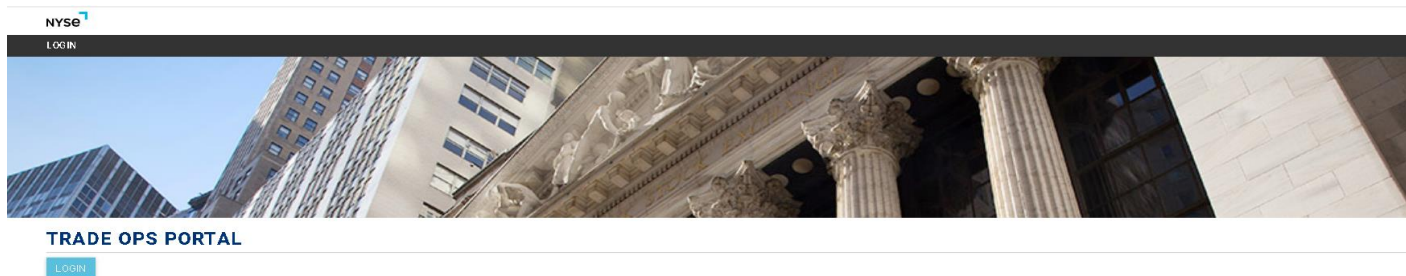

NYSE PILLAR TRADE OPS PORTAL (TOP)

POST TRADE ADJUSTMENT USER GUIDE



Version 1.5







April 2024

SUMMARY

This user guide provides directions on how to perform various post trade adjustments on NYSE TOP.

For details on viewing and managing risk parameters on TOP, please review the NYSE Pillar [Risk Controls document](#).

Resources

- Export to Excel 
- Display Grid Setting is customizable by selecting / unselecting columns 
- Hide Filter  (allows the entire page to display trade information)
- Help Button  defines each column on the current page with a pop-out glossary
- Additional support can be found at www.nyse.com/pillar-migration
- Edit Icon  Appears only with the “Allocated” trades
- Edit Icon  Appears only with the “Unallocated” trades

LOGIN

Log in by using the TOP Username (Email address) and the password. For assistance with login details, please contact CRS@nyse.com.



By clicking on the “Login” button, you indicate that you have reviewed and accepted the [Terms of Use](#). [\[PDF\]](#)

[Forgot Password](#) | [Change Password](#) | [Request SSO Global ID](#)

HOME

| MARKET STATUS | | | | |
|-----------------------------|--|--|----------------------|--|
| Date | Description | Market | Service | |
| 11:04 AM FEBRUARY 6TH, 2024 | NYSE American and NYSE Arca Options have revoked self-help against MEMX Options Exchange | NYSE AMERICAN OPTIONS, NYSE ARCA OPTIONS | Trading | |
| 2:01 PM DECEMBER 14TH, 2023 | NYSE American Options: Technical Issue on December 14, 2023, Resolution | NYSE AMERICAN OPTIONS | Trading | |
| 3:02 AM DECEMBER 12TH, 2023 | NYSE American Options: Technical Issue - Resolved | NYSE AMERICAN OPTIONS | Market Data, Trading | |
| 6:50 AM DECEMBER 6TH, 2023 | NYSE American Options: Technical Issue on December 5, 2023 Resolution | NYSE AMERICAN OPTIONS | Trading | |
| 1:18 AM NOVEMBER 3RD, 2023 | NYSE Arca Options: Technical Issue - Resolved | NYSE ARCA OPTIONS | Trading | |

[View Market Status History](#)

| TRADER UPDATES | | | | |
|--------------------------|---|---|--------------------------|--|
| Date | Description | Market | Service | |
| 8:02 AM APRIL 11TH, 2024 | NYSE Group - 2024 Regulation SCI BCP/IDR Testing - October 5, 2024 | FINRA/NYSE TRF, NYSE, NYSE AMERICAN, NYSE AMERICAN OPTIONS, NYSE ARCA EQUITIES, NYSE A... | Trading | |
| 11:17 AM APRIL 8TH, 2024 | NYSE Group - Disaster Recovery Testing Opportunity - April 13, 2024 | FINRA/NYSE TRF, NYSE, NYSE AMERICAN, NYSE AMERICAN OPTIONS, NYSE ARCA EQUITIES, NYSE A... | Trading | |
| 10:09 AM APRIL 5TH, 2024 | Reminder and Postponement, NYSE Options: Proprietary Market Data Feeds Changes | NYSE AMERICAN OPTIONS, NYSE ARCA OPTIONS | Market Data, Post Tra... | |
| 8:58 AM APRIL 5TH, 2024 | NYSE Options: Customer-to-Customer Cross Order Functionality - New Production Dates | NYSE AMERICAN OPTIONS, NYSE ARCA OPTIONS | Trading | |
| 8:45 AM APRIL 3RD, 2024 | NYSE Options: Changes to Quarterly Bid/Ask Differentials - BKNG | NYSE AMERICAN OPTIONS, NYSE ARCA OPTIONS | Trading | |

[View Trader Updates History](#)

- **Market Status**
 - Selecting the edit icon will expand the "Market Status" information.

NYSE AMERICAN OPTIONS: TECHNICAL ISSUE - RESOLVED

December 12th, 2023 3:02 AM

MARKET: NYSE AMERICAN OPTIONS

SERVICE: MARKET DATA, TRADING

NYSE American Options experienced a technical issue requiring an intraday session restart on one trading unit. The restart was completed at 5:58 a.m. ET.

The issue has been resolved and all systems are currently operational.

- **Trader Updates**
 - Selecting the edit icon will expand the "Trader Updates" status information.

NYSE OPTIONS: CUSTOMER-TO-CUSTOMER CROSS ORDER FUNCTIONALITY - NEW PRODUCTION DATES

April 5th, 2024 8:58 AM

MARKET: NYSE AMERICAN OPTIONS, NYSE ARCA OPTIONS

SERVICE: TRADING

Subject to the effectiveness of a regulatory filing, the [previously announced](#) Customer to Customer Cross Functionality for single-leg and complex orders is being postponed. This functionality will be introduced on NYSE American Options on April 23, 2024, and NYSE Arca Options on April 26, 2024.

Customer-to-Customer Cross Orders continue to be available for testing in each exchange's Certification environment; to test please contact tms@nyse.com.

ARCA/AMEX Options

DASHBOARDS

Dashboard will provide general information such as “Overview and Transaction Dashboard”.

Overview

- Transaction Dashboard
 - Status
 - Count
 - A measure of the number of times that an event occurs.
 - Last Updated Time (ET time zone)

Select the “Trade Summary” under ARCA or AMEX Options.

Trade Summary

Trade Summary provides users access to all **cleared trade** information. It provides general information such as Allocated, Floor Trades, Transactions, Transaction History, Export Excel, Display Grid Setting, Hide Filter, and Help buttons.

Contractual Changes (volumes and price changes) that are requested by the customer must be done by NYSE Staff. Once changes have been made you can see the changes in allocated trades.

Non-Contractual Changes will be managed by the firms via TOP for access by both internal and external users (based on permissions and filtered based on access type).

The screenshot shows the NYSE Trade Summary interface. At the top, there are navigation tabs: ALLOCATED, FLOOR TRADES, TRANSACTIONS, and TRANSACTION HISTORY. Below these are various search filters including Entitlement, Broker Firm, Expiration Date, Clearing #, OCC Seq #, Firm, Strike Price, MMD, Side, Original Trade Date, Put/Call, CMTA, Client Order Id, Ref Exec Time, Qty, Badge, Account, Underlying Symbol, Price, Open/Close, Customer or Firm, and MPID. A table below the filters is currently empty, displaying the message "No records found for filter criteria".

Allocated

Allocated shows the latest state of each completed transaction sent to the OCC. Find allocated trades by searching via the Trade Summary:

The screenshot shows the NYSE Trade Summary interface with search filters set to Firm: TRAFIX LLC, Original Trade Date: 07/13/2023, and Ref Exec Time: 07/13/2023. The table below displays four rows of allocated trades:

| Ref Exec Time | Event Time | Qty | OSI Root Symbol | Expiration Date | Strike Price | Put/Call | Price | OCC Seq # | MPID | Side | MMD | Badge | Clearing # | Customer or Firm | Open/Close | CMTA | Client Order Id |
|-------------------|----------------|-------|-----------------|-----------------|--------------|----------|-------|-----------|------|------|------|-------|------------|------------------|------------|--------|-----------------|
| 07/13/2023 15:... | 07/13/2023 ... | 2,500 | IBM | 07/21/2023 | 70.00000000 | Call | 1,200 | 191 | TRFX | BUY | TF01 | 355 | Firm | Close | 161 | 5B9PX4 | |
| 07/13/2023 15:... | 07/13/2023 ... | 2,500 | IBM | 07/21/2023 | 70.00000000 | Call | 1,200 | 191 | TRFX | SELL | TF01 | 355 | Customer | Close | 161 | 5B9PX4 | |
| 07/13/2023 15:... | 07/13/2023 ... | 2,500 | IBM | 07/21/2023 | 65.00000000 | Call | 1,800 | 190 | TRFX | BUY | TF01 | 355 | Customer | Close | 161 | 5B9PX4 | |
| 07/13/2023 15:... | 07/13/2023 ... | 2,500 | IBM | 07/21/2023 | 65.00000000 | Call | 1,800 | 190 | TRFX | SELL | TF01 | 355 | Firm | Close | 161 | 5B9PX4 | |

Adjust (Adjust allocated trades)

TOP provides user the access to make any adjustment that is a non-contractual change.

Under the “ALLOCATED” tab, select an upside-down triangle also known as the “Edit Icon”. This will populate the “Trade Action”.

- User can select the “Adjust” tab.
- If the user is on both sides of the trade, they can designate for each allocation record. [Please pay attention to the “Buy” and the “Sell” next to the “Customer Side” and the “Contra Side” in the parentheses.](#)
- User will be able to adjust CMTA, Clearing #, Open/Close, Badge, Account (Actionable Identifier), Optional Data, and Cap Strategy ID.
- Once the criteria are updated, submit the adjustment
- User can select the “Click here to see Transaction”. This will enable the user to see the adjustment.

Multi-Edit (Changes on multiple trades at the same time)

TOP allows users to make multiple adjustments for non-contractual changes.

Under the “ALLOCATED” tab, select multiple upside-down triangles also known as the “Edit Icon”. TOP can update up to 50 trades on the current trading day and 5 trades on the prior trading day.

| Ref Exec Time | Event Time | Qty | OSI Root Symbol | Expiration Date | Strike Price | Put/Call | Price | OCC Seq # | MPID | Side | MMID | Badge | Clearing # | Customer or Firm | Open/Close | CMTA | Client Order Id |
|---------------|------------|-------|-----------------|-----------------|--------------|----------|-------|-----------|------|------|------|-------|------------|------------------|------------|------|-----------------|
| 07/13/2023 15 | 07/13/2023 | 2,500 | IBM | 07/21/2023 | 70.00000000 | Call | 1,200 | 191 | TRFX | BUY | | TF01 | 355 | Firm | Close | 161 | 589PX4 |
| 07/13/2023 15 | 07/13/2023 | 2,500 | IBM | 07/21/2023 | 70.00000000 | Call | 1,200 | 191 | TRFX | SELL | | TF01 | 355 | Customer | Close | 161 | 589PX4 |
| 07/13/2023 15 | 07/13/2023 | 2,500 | IBM | 07/21/2023 | 65.00000000 | Call | 1,800 | 190 | TRFX | BUY | | TF01 | 355 | Customer | Close | 161 | 589PX4 |
| 07/13/2023 15 | 07/13/2023 | 2,500 | IBM | 07/21/2023 | 65.00000000 | Call | 1,800 | 190 | TRFX | SELL | | TF01 | 355 | Firm | Close | 161 | 589PX4 |

This will enable the “Multiple-Edit” icon. User will then select the “MULTI- EDIT” Icon. All adjustments made in Multi-Edit will be highlighted in yellow.

- User can adjust individual trade records in the following fields: CustFirm, Open/Close, Clearing, MMID, SubmittingBrokerID for the same MPID, CMTA, Cap Strategy, Optional Data, and Account (Actionable Identifier).
- User can adjust CMTA, Clearing #, Open/Close, Badge, Account (Actionable Identifier), Cap Strategy, Optional Data in bulk using the filter box.
- Trades with different values for Open/Close and Cust/Firm will automatically default to “Keep as is” and keep its value.
- Once the adjustment is made, submit the adjustment (User must ensure that all trades selected for the "Multi-Edit" are related and the change to being made is appropriate for all the trades that have been selected)

TRADE SUMMARY
MULTI-EDIT

Change Reason Code
Non-Contractual Field Change

Customer Side (-)
Customer or Firm: -Keep as is- MPID: TRFX MMID: Clearing #: 355 Open/Close: Open Badge: TF01

CMTA: 161 Account: -Keep as is- Optional Data: Bee test Cap Strategy Id: -

SUBMIT APPLY RESET

Note:
1. In the table below, updated values are highlighted in yellow
2. To blank out a text (input) field select existing text and delete it, or enter one or more spaces

| Ref Exec Time | Side | Qty | OSI Ro... | Expiration Date | Strike Price | Put/Call | Price | Customer or Firm | MPID | Open/Close | Clearing # | MMID | Badge | CMTA | Account | Optional Data | Cap Strategy Id | R |
|-------------------------------|------|-----|-----------|-----------------|--------------|----------|-------|------------------|------|------------|------------|------|-------|------|---------|---------------|-----------------|---|
| 09/12/2023 15:11:46.112966029 | BUY | 45 | IBM | 09/15/2023 | 65 | Call | 1.92 | Customer | TRFX | Open | 355 | | TF01 | 161 | chua-ai | Bee test | - | |
| 09/12/2023 15:11:46.112966029 | SELL | 45 | IBM | 09/15/2023 | 65 | Call | 1.92 | Firm | TRFX | Open | 355 | | TF01 | 161 | chua-ai | Bee test | - | |
| 09/12/2023 15:11:46.112966029 | BUY | 300 | IBM | 09/15/2023 | 65 | Call | 1.91 | Customer | TRFX | Open | 355 | | TF01 | 161 | chua-ai | Bee test | - | |
| 09/12/2023 15:11:46.112966029 | SELL | 300 | IBM | 09/15/2023 | 65 | Call | 1.91 | Firm | TRFX | Open | 355 | | TF01 | 161 | chua-ai | Bee test | - | |

Optional Data .* Wild Card Filter

TOP supports a wildcard parameter using the % sign.

- User can use %abc and TOP search will return abc, aabc, and xyzabc, but not xabcd
- User can use it in any position in a search, i.e., a%bc, abc%, or %abc%
- User can use it as a regular character if preceded by backslash, i.e., a\b, TOP will look for an exact match on a\b

Split (Splitting the allocated trades)

TOP allows users to make any adjustment that is a non-contractual change.

Under the “ALLOCATED” tab, select an upside-down triangle grid also known as the “Edit Icon”. This will populate the “Trade Action”.

TRADE SUMMARY
Trade Action

ADJUST **SPLIT**

Slice Quantity: 900 (Change Reason Code: Non-Contractual Field Change)

Customer Side (Buy):
Customer or Firm: Customer
MPID: TRFX
MMID: []
Clearing #: 551
Open/Close: Close
Badge: TF01

Contra Side (Sell):
Customer or Firm: Firm
MPID: TRFX
MMID: []
Clearing #: 551
Open/Close: Close
Badge: TF01

CMTA: [] Account: GTF0H

Optional Data: [] Cap Strategy Id: []

ADD SLICE RESET

Total Splits: 0 / 900

| Row # | Slice Quantity | Change Reason | Customer side: MPID | Customer side: Open/Close | Customer side: Customer or Firm | Customer side: MMID | Customer side: Clearing # | Customer side: B... |
|--------------------------------------|----------------|---------------|---------------------|---------------------------|---------------------------------|---------------------|---------------------------|---------------------|
| No records found for filter criteria | | | | | | | | |

Contra side: Account: GTF0H
Contra side: Clearing #: 551
Contra side: Customer or Firm: 1
Contra side: MPID: TRFX
Contra side: Open/Close: C
Contra side: Badge: TF01
Customer side: Account: GTF0H
Customer side: Clearing #: 551
Customer side: Customer or Firm: 0
Customer side: MPID: TRFX
Customer side: Open/Close: C
Customer side: Badge: TF01
Original Deal Id: 56296713290505
Deal Id: 56296713290635
Price: 16.6
Qty: 900
Expiration Date: 08/19/2022
Original Trade Date: 06/16/2022
OSI Root Symbol: IBM

- Select the “Split” tab.
- User can indicate either side of the trade for each allocation record. Please pay attention to the “Buy” and the “Sell” next to the Customer Side and Contra side in the parentheses.
- User can split quantity in the “Slice Quantity”, only if the user is the owner of both sides.
- User can adjust Firm/Customer, CMTA, Clearing #, Open/Close, Badge, Account, Optional Data, and Cap Strategy ID.
- User can designate for each allocation record.
- Once the split is done, submit the adjustment.
- User can select the “Click here to see Transaction”. This will enable the user to see the trade adjustment.

Floor Trades

NYSE ARCA OPTIONS SYSTEM

TRADE SUMMARY

ALLOCATED FLOOR TRADES TRANSACTIONS TRANSACTION HISTORY

Entitlement: Broker Firm: Firm: TRAFIX LLC Match For: All INFs Original Trade Date: 07/13/2023 Ref Exec Time: h:mm:ss.ssssssss to h:mm:ss.ssssssss Underlying Symbol: []

OSI Root Symbol: [] Expiration Date: mm/dd/yyyy Strike Price: [] Put/Call: [] Qty: [] to [] Price: [] to []

MPID: [] Clearing #: [] MMID: [] CMTA: [] Badge: [] Open/Close: []

Customer or Firm: [] OCC Seq #: [] Side: [] Client Order Id: [] Account: [] Optional Data: []

SEARCH RESET MORE

| Common | | | | | | | | | | Customer Side | | | | | | |
|-------------------|-------|-----------------|-----------------|--------------|----------|-------|------|------|------|---------------|------------------|-----------------|------------|------------|------|---------|
| Ref Exec Time | Qty | OSI Root Symbol | Expiration Date | Strike Price | Put/Call | Price | Side | MPID | MMID | Badge | Customer or Firm | Client Order Id | Clearing # | Open/Close | CMTA | Account |
| 07/13/2023 15:... | 3,000 | IBM | 07/21/2023 | 70.00000000 | Call | 1.200 | SELL | TRFX | | TF01 | Customer | 589PX5 | 355 | Close | 161 | |
| 07/13/2023 15:... | 3,000 | IBM | 07/21/2023 | 65.00000000 | Call | 1.800 | BUY | TRFX | | TF01 | Customer | 589PX5 | 355 | Close | 161 | |
| 07/13/2023 15:... | 2,500 | IBM | 07/21/2023 | 70.00000000 | Call | 1.200 | SELL | TRFX | | TF01 | Customer | 589PX4 | 355 | Close | 161 | |
| 07/13/2023 15:... | 2,500 | IBM | 07/21/2023 | 65.00000000 | Call | 1.800 | BUY | TRFX | | TF01 | Customer | 589PX4 | 355 | Close | 161 | |

- Match For

Match For

- All INFs
- All INFs
- Partial Allocation
- Zero Allocation

- All INFs - Displays the internal fill message.

- Partial Allocation - Displays some of the allocated trades that are filled.
- Zero Allocation - Display no allocation.

Allocate (Floor Trades)

User can “Allocate” trade if the trade is not allocated. (e.g., Names Later)

- The user can select the “Edit Icon” tab and the “Allocate/Unallocate” page will populate. If the user is the owner of both buy and sell sides, under the “Allocate” tab user can select “Both” under “My Ownership”. However, if the user only owns one side of the trade, then select either buy or sell.
- All unallocated trades at the end of the business day will be default to the firm’s “Error Account” as the contra side of the trade (e.g., partial trades need to be cancelled or the remaining balance will be defaulted to the firm’s error account).

Unallocate (Floor Trade)

User can “Unallocate” a trade if the trade is already allocated. (e.g., Broker allocated the trade to the wrong Market Maker.)

- If the user is on both sides of the trade, the user can select the “Unallocate” tab and select the top box icon also known as the “Edit Icon”. This will automatically select both the buy and the sell sides. Submit your unallocated update and this will undo both the buy and the sell sides of the trade.
- If the user is only on one side of the trade, the user can select the “Unallocate” tab and select the top box icon also known as the “Edit Icon”. Submit your unallocated update and this will undo the initiating side of the trade and send a recall message to the contra side.
- User can select the “Click here to see Transaction”. This will enable the user to see the trade be updated to “Unallocate” trades.
- Once the contra-side has approved the recall, the initiating user can change the contra under the "Allocate" tab in the “FLOOR TRADE” tab.

Reallocate (Reassigning Contra)

Under the “FLOOR TRADE” tab, select the “Allocate” tab and reassign the contra.

Once the reallocate (Contra) is selected, submit the reallocation.

Recall (Recalling the trade back)

User can select the “Recall” tab to recall a trade. Only available for Floor Trades. (e.g., Sending the trade to the wrong MM.)

- Once the contra side has approved the recall, the initiating user will be able to reallocate.
- User can select the “Click here to see Transaction”. This will enable the user to see the recalled trades.

Market Makers

Market Makers can approve and reject trades (TOP is now replacing Trade Notification Transaction also known as TNT)

- Under the “TRANSACTIONS” tab, Users can select up to 20 multiple edit icons next to the “CO_APPROVAL_PENDING” for approvals. This will populate the “Trade Summary Approval” screen.

Optional Data

SEARCH RESET MORE

| Group Id | Status | User Action | Action | Updated Time | Updated By | Reason | Original Trade Date | Event Type | Ref Exec Time | Event Time | Deal Id | Original Deal Id |
|----------|---------------------|-------------|----------|-------------------------------|------------|----------------|---------------------|------------|-------------------------------|------------|---------|------------------|
| 45195 | CO_APPROVAL_PENDING | ALLOCATE | ALLOCATE | 07/13/2023 15:29:38.851775000 | TOP | OMS Allocation | 07/13/2023 | ALC | 07/13/2023 15:28:56.494823936 | 07/13/2023 | 0 | 962987133476379 |
| 45194 | CO_APPROVAL_PENDING | ALLOCATE | ALLOCATE | 07/13/2023 16:29:38.702758000 | TOP | OMS Allocation | 07/13/2023 | ALC | 07/13/2023 15:28:56.494823936 | 07/13/2023 | 0 | 962987133476353 |

RECALL APPROVE REJECT

- User can select either “Approve” or “Reject”.
- Once the “Approve” or “Reject” is selected, submit.

TRANSACTIONS

APPROVE/REJECT

(Change) Reason Code
-Keep as is-

CMTA Account Optional Data

APPROVE

| Status | Ref Exec Time | Side | Qty | OSI Root... | Expiration Date | Strike Price | Put/Call | Price | Action Status | Error | Client Order Id | Underlying Sy... |
|---------------------|------------------|------|-----|-------------|-----------------|--------------|----------|-------------|---------------|-------|-----------------|------------------|
| CO_APPROVAL_PENDING | 12/01/2022 10... | BUY | 505 | ICE | 01/20/2023 | 50.00000000 | Call | 58.40000000 | | | 365PX47 | ICE |
| CO_APPROVAL_PENDING | 12/01/2022 10... | SELL | 505 | ICE | 01/20/2023 | 45.00000000 | Call | 65.50000000 | | | 365PX47 | ICE |
| CO_APPROVAL_PENDING | 12/01/2022 10... | BUY | 506 | ICE | 01/20/2023 | 70.00000000 | Call | 38.30000000 | | | 365PX48 | ICE |
| CO_APPROVAL_PENDING | 12/01/2022 10... | BUY | 506 | ICE | 01/20/2023 | 65.00000000 | Call | 43.00000000 | | | 365PX48 | ICE |
| CO_APPROVAL_PENDING | 12/01/2022 10... | BUY | 506 | ICE | 01/20/2023 | 50.00000000 | Call | 58.40000000 | | | 365PX48 | ICE |
| CO_APPROVAL_PENDING | 12/01/2022 10... | SELL | 506 | ICE | 01/20/2023 | 45.00000000 | Call | 65.50000000 | | | 365PX48 | ICE |

Notifications

The exchange broadcasts important messages to TOP users. (e.g., Outages and informational)

OCC Seq # Side Client Order Id Account Optional Data

SEARCH RESET MORE

| Original Trade Date | Event Type | Ref Exec Time | Event Time | Deal Id | Original Deal Id | Ref Deal Id | OSI Symbol | OSI Root Symbol | Expiration Date | Put/Call |
|--------------------------------------|------------|---------------|------------|---------|------------------|-------------|------------|-----------------|-----------------|----------|
| No records found for filter criteria | | | | | | | | | | |

500

This is a text broadcast for CERT TOP
Text Message From across
6/29/2023 8:39:08 AM PDT

Additional TOP information can be found at www.nyse.com/pillar-migration.

Appendix: Document Version Control History

| Date | Version Number | Change Summary |
|-----------|----------------|---|
| 4/22/2024 | 1.5 | <ul style="list-style-type: none"> • Market Status • Trader Updates • Customer API Readiness |
| 9/12/2023 | 1.4 | <ul style="list-style-type: none"> • Multiple Trade Edit Capability (Multi-Edit 2.0) for Options • Ability to update CapStrategyID in Multi-Edit operation (Floor Broker QCC Trades and Outcry) • Trade Summary – Optional Data Wild Card Filter |
| 7/21/2023 | 1.3 | <ul style="list-style-type: none"> • Risk Phase 3: Options (disabled/hidden from users) • Technology: Rename “CDM” URL (Non-PROD) • Notifications: Message Broadcasting • Implement Multiple Email Widget in Risk setting Page |
| 12/5/2022 | 1.2 | <ul style="list-style-type: none"> • Updated Multi Edit section with “Keep as is” as the default value • Updated Market Makers section to reflect new ‘Bulk Approval’ feature • Updated screen shots to reflect TOP version 6.3.1 |
| 8/23/2022 | 1.1 | <ul style="list-style-type: none"> • Updated formatting and branding • Updated Screen shots in ‘Allocated’, “Split’ and ‘Allocate (Floor Trades)’ section • Added information in the ‘Adjust’ and ‘Split’ sections |
| 1/22/2022 | 1.0 | <ul style="list-style-type: none"> • Initial publication |